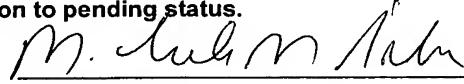| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371	ATTORNEY'S DOCKET NUMBER 085449-0158
	U.S. APPLICATION NO. (If known, see 37 C.F.R. 5) Unassigned 10/519539

INTERNATIONAL APPLICATION NO. PCT/EP03/06958	INTERNATIONAL FILING DATE 07/01/2003	PRIORITY DATE CLAIMED 07/01/2002

TITLE OF INVENTION
Peptides for Inducing Apoptosis in Tumor Cells

APPLICANT(S) FOR DO/EO/US
Karin Butz et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.

2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.

3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.

4. ☒ The US has been elected (Article 31).

5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))

    ☐ is attached hereto (required only if not communicated by the International Bureau).

    ☒ has been communicated by the International Bureau.

    ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)

6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).

    ☐ is attached hereto.

    ☐ has been previously submitted under 35 U.S.C. 154(d)(4).

7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

    ☐ are attached hereto (required only if not transmitted by the International Bureau).

    ☐ have been communicated by the International Bureau.

    ☐ have not been made; however, the time limit for making such amendments has NOT expired.

    ☒ have not been made and will not be made.

8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, form PTO/SB/08 and copy of Intl. Search Report.

12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

13. ☒ A preliminary amendment.

14. ☒ An Application Data Sheet under 37 CFR 1.76.

15. ☐ A substitute specification.

16. ☐ A power of attorney and/or change of address letter.

17. ☒ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825

18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).

19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

20. ☒ Other items or information: Statement to Support Filing and Submission (1 pg.); Sequence Listing (41 pgs.)

U.S. APPLICATION NO. (If known, (see 37 CFR 1.4)) Unassigned 10/519559	INTERNATIONAL APPLICATION NO. PCT/EP03/06958	ATTORNEY'S DOCKET NUMBER 085449-0158	

21. ☒ The following fees are submitted:				
☒	a) Basic national fee	$300.00	$ 300.00	
☒	b) Examination fee	$200.00	$ 200.00	
☒	c) Search fee	$500.00	$ 500.00	
	TOTAL OF ABOVE CALCULATIONS =	$1000.00	$ 1000.00	

☐ Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is $ for each additional 50 sheets of paper or fraction thereof.					

Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
31 - 100 =	0	/50 = 0	x $250.00	$ 0.00	

Surcharge of $130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))	$ 0.00	

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	$	
Total Claims	36 - 20 =	16	x $ 50.00	$ 800.00	
Independent Claims	1 - 3 =	0	x $ 200.00	$ 0.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ $ 360.00	$	
		TOTAL OF ABOVE CALCULATIONS =		$ 1800.00	
☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½.			+	$ 900.00	
			SUBTOTAL =	$ 900.00	

Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).	$	
TOTAL NATIONAL FEE =	$ 900.00	

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property	$	
TOTAL FEES ENCLOSED =	$ 900.00	
	Amount to be refunded:	
	charged:	

a. ☒   A check in the amount of $900.00   to cover the above fees is enclosed.

b. ☐   Please charge my Deposit Account No. 19-0741 in the amount of 0.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒   The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.

d. ☐   Fees are to be charge to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Foley & Lardner LLP
Customer Number: 22428

SIGNATURE
Michele M. Simkin
NAME
34,717
REGISTRATION NUMBER